

BOX ASSIGNMENTS

10/PCT Rec'd 29 FEB 2000

DO NOT USE FOR TRADEMARKS

RECORDATION FORM COVER SHEET
PATENT APPLICATIONS & PATENTS ONLY

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Yen CHOO
3. Mark ISALAN
5.
7.2. Aaron KLUG
4.
6.
8.ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: GENDAQ Limited

ADDRESS: 1-3 Burtonhole Lane, Mill Hill London, NW71AD United Kingdom

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST
☐ ORIGINAL ☐ FACSIMILE/PHOTOCOPY
☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION
☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: (1) 2/16/00; (2) 2/17/00 and (3) 2/16/00

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!)

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 st INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 st INVENTOR if not in item 1
09/424,482	264974				

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS ____ = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 264974 P002500USQ

MATTER NO. 0000070 09424482 CLIENT REF.

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO 71278 264974

dup. sheet not required CLIENT NO. MATTER NO.

04 FC:581

40.00 gp

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this
cover sheet, attachments and document
(do not file dup. Cover sheet)

2

Signature 42,197

Attorney: Paul N. Kokulis

Reg. No. 16773

Atty/Sec: PNK/PEV/mjg TEL: (202) 861-3503

Date: February 29, 2000

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

Please return signed/recorded to:

Pillsbury Madison & Sutro LLP
Intellectual Property Group
Ninth Floor, East Tower
1100 New York Avenue, N.W.
Washington, D.C. 20005-3918

USA Patent Appln.
Sole or Joint

Atty. Dkt.

For Inventions made outside USA
executed with or after application

71278
Mr

264974
Client Ref.

NONPROVISIONAL

ASSIGNMENT OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT
NAME(S) OF
INVENTOR(S)

(1) Yen CHOO	(4)
(2) Aaron KLUG	(5)
(3) Mark ISALAN	[] x box if continued on page 2

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT
NAME(S) OF
ASSIGNEE(S)
& ADDRESS(ES)

GENDAQ LIMITED

1-3 Burtonhole Lane, Mill Hill London, NW71AD United Kingdom

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof, and all resulting patents, known as

TITLE OF
INVENTION

NUCLEIC ACID BINDING POLYPEPTIDE LIBRARY

for which the undersigned executed an application for Letters Patent of the United States of America:

NOTE -->
(Complete
line A, B and/or C)

(A) ☐ even date herewith

(B) ☐ on

(C) ☒ in U.S. Appln. No. 09/424,482 filed November 23, 1999

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE.

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE --> The undersigned hereby authorize(s) Pillsbury Madison & Sutro, Intellectual Property Group, of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

	INVENTOR(S)	DATE SIGNED	WITNESSES
1)	<u>Yen Choo</u>	<u>16.2.2000</u>	<u>Mark Volman</u>
Name:	YEN CHOO		
2)	<u>Aaron Klug</u>	<u>17/2/2000</u>	<u>Angela Halpin</u>
Name:	AARON KLUG		
3)	<u>Mark Isalan</u>	<u>16.2.2000</u>	<u>Mark Volman</u>
Name:	MARK ISALAN		
4)			
Name:			
5)			
Name:			

IF ADDITIONAL INVENTORS, check box ☐ and continue on page 2.

Inventor(s): Yen CHOO, Aaron KLUG, Mark ISALAN

Appln. No.: 09 / 424,482

or Patent No.:

Filed: November 23, 1999

or Issued.:

(Atty. Dkt.)

71278

/ 264974

M# / Client Ref.

Title: NUCLEIC ACID BINDING POLYPEPTIDE LIBRARY

SMALL ENTITY STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(d) and 1.27 (c)) - **SMALL BUSINESS CONCERN**

I hereby state that I am



the owner of the small business concern identified below:



an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN GENDAQ LIMITED

ADDRESS OF CONCERN 1-3 Burtonhole Lane, Mill Hill, London, NW71AD, United Kingdom

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and referenced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention, invented by the above inventor(s) and described in:

x →

☐ the specification filed herewith,

one →

☒ Application No. 09/424,482, filed November 23, 1999

box →

☐ Patent No. _____, issued

If the rights held by the above identified small business concern are not exclusive, each small entity individual, concern or organization having rights to the invention is listed in (A) and (B) below and no rights to the invention are held by any person, other than the inventor, who could not qualify under 37 CFR 1.9(c) as an independent inventor if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

(A) FULL NAME of assignee/licensee/grantee/conveyee*

ADDRESS

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

(B) FULL NAME of assignee/licensee/grantee/conveyee*

ADDRESS

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

*NOTE:

Separate statement is required from each person, concern or organization named in (A) and (B) above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I acknowledge the duty to file, in this case, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING

TITLE OF PERSON OTHER THAN OWNER

ADDRESS OF PERSON SIGNING

SIGNATURE

Tuomus Breen

DATE

16-2-00